



Invited Review for the 100th Anniversary of the TJEM

Scoping Review of Hospital Business Continuity Plans to Validate the Improvement after the 2011 Great East Japan Earthquake and Tsunami

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During a disaster, all hospitals are expected to function as “social critical institutions” that protect the lives and health of people. In recent disasters, numerous hospitals were damaged, and this hampered the recovery of the affected communities. Had these hospitals business continuity plans (BCPs) to recover quickly after the disaster, most of the damage could have been avoided. This study conducted a scoping review of the historical trend and regional differences in hospital BCPs to validate the improvement of the BCP concept based on our own experience at Tohoku University Hospital, which was affected by the 2011 Great East Japan Earthquake and Tsunami (GEJET). We searched PubMed by using keywords related to BCP and adapted 97 articles for our analysis. The number of articles on hospital BCPs has increased in the 2000s, especially after Hurricane Katrina in 2005. While there are regional specificity of hazards, there were many common topics and visions for BCP implementation, education, and drills. From our 2011 GEJET experience, we found that BCPs assuming region-specific disasters are applicable in various types of disasters. Thus, we suggest the following integral and universal components for hospital BCPs: (1) alternative methods and resources, (2) priority of operation, and (3) resource management. Even if the type and extent of disasters vary, the development of BCPs and business continuity management strategies that utilize the abovementioned integral components can help a hospital survive disasters in the future.

Keywords: alternative methods and resource; business continuity plan (BCP); disaster medicine; priority of operation; scoping review

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Introduction

During a catastrophe, be it a natural or manmade disaster, all hospitals are expected to operate as “social crit-

ical institutions” that protect the lives and health of the affected people (WHO, World Health Organization 2015). The healthy status of the affected people leads to quick recovery of the affected community. But are hospitals cur-

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rently strong enough to effectively withstand disaster? The answer is “No.” Current disaster countermeasures implemented by hospitals have not been enough.

In 2018, Japan experienced many natural disasters, and hospital business continuity (BC) began facing several new challenges. On June 18, 2018, a large earthquake during a morning commute in north Osaka stopped traffic, and this resulted in hospital dysfunction owing to the lack of hospital staff (Hirata and Kimura 2018). In July, some hospitals in west Japan lost power supply because of torrential rains that lasted for days, causing both a flood and landslides. Those hospitals had their emergency power generators on the first floor, which were inundated because of the unexpected flooding (Oda et al. 2019; Sato and Imamura 2019). On September 6, an earthquake of magnitude M6.7 in Hokkaido caused the shutdown of a thermal power plant and resulted in the blackout of the entire Hokkaido Island. The blackout ceased the functioning of many medical devices (e.g., mechanical ventilators, artificial dialyzers) and put several patients’ lives at risk. Although the hospital buildings did not collapse structurally, we experienced a paralysis of hospital functions, as though it were a “functional disease of a society.”

Modern hospitals need to be equipped with a wide range of structural, non-structural, and functional capacities. As a result of diverse specialties and functional advancements in medical fields, medical operations have been subdivided, and many kinds of specialists, including non-medical staff (e.g., maintenance, inspection, and cleaning staff, and security guards), are essential. Medical devices depend heavily on their lifelines, namely electricity, gas, and water supply. If these lifelines or commute systems in the area are shut down, the hospitals that have not prepared for this situation cannot function, as it was in Osaka and Hokkaido. No hospital can function alone in an area, especially during a disaster. For a hospital to function, it needs a strong network and the support of relevant organizations including medical, non-medical, and lifeline supply chains.

The concept of BC is different from conventional disaster prevention. We have to ask “by what time,” “by which alternative means,” and “to what extent” we should recover our business from the perspective of organizational functions and processes including input and output. In Japan, business continuity plans (BCPs) were introduced as countermeasures in the fields of information security, earthquakes, and pandemics such as the influenza in the 2000s (Ministry of Economy, Trade and Industry 2005; Inter-ministerial Avian Influenza Committee 2007). After the 2011 Great East Japan Earthquake and Tsunami (GEJET) that affected all of the east of Japan and either destroyed or functionally impaired a number of hospitals (Ishigaki et al. 2013; Egawa et al. 2018), a momentum toward developing hospital BCPs grew, drawing influence from the prevalence of BCPs in other business fields including factories, supply chains, social lifeline utility, communication, and transport

(Cabinet Office, Government of Japan 2013). In the medical field, health care workers started to consider how and when we should strive to recover our businesses and to what extent it would be possible with restricted human and material resources. Yamanouchi et al. advocated that all hospitals, including small and psychiatric ones, should have BCPs to survive on their own for several days, in order to reduce “preventable disaster death (PDD)” after the investigation of the affected hospitals caused by the 2011 GEJET (Yamanouchi et al. 2015, 2017). PDD refers to death during a disaster that can be prevented under a normal hospital situation and with appropriate systems. They identified 125 PDD cases in the hospitals in Miyagi prefecture that had been devastated by the 2011 GEJET. Currently in Japan, every disaster base hospital (DBH), which plays a key role in disaster case management, is expected to establish its own BCP and training in addition to ensuring a seismic structure and sufficient equipment to meet certification requirements (Ministry of Health, Labour and Welfare 2018).

The state of hospital BCPs has been evolving rapidly both in Japan and world over. This article aims to perform a scoping review of historical trends, regional differences, and commonalities in hospital BCPs to validate the improvement of the hospital BCP concept based on our experience at the Tohoku University Hospital after the 2011 GEJET.

Scoping Review of Past Hospital BCPs

As of April 15, 2020, we searched PubMed using the terms “hospital business continuity,” “business continuity plan,” “safe hospital in disaster,” and “business continuity in disaster.” We identified 1452 articles, including some that overlapped. We excluded articles that have no valid abstract and that are not related to the themes of health, medicine, hospitals, and BCPs (Fig. 1). Finally, we analyzed 97 articles in this study. As hospital BCP is a local-context dependent emerging field of research that is diverse, and that there are a wide range of hazard-contexts, a scoping review is more appropriate than a systematic review to identify gaps in the existing literature (Arksey and O'Malley 2005). Such a scoping study takes the process of dissemination one step further by drawing conclusions from the existing literature on the overall state of research activity. We designed this study to identify the trend and gaps in the evidence base where no research has been conducted, and summarized the research findings to validate our experience and the implementation of BCPs in our institution. This is not a systematic literature review based on the PRISMA statement (Moher et al. 2009). We followed Arksey and O'Malley's framework as far as possible, that is: identifying the research question, identifying relevant studies, selecting the studies, charting the data, and collating, summarizing, and reporting the results. Our research questions were: “What is the trend in hospital BCPs according to the type of hazard and local specificity? What is the most rele-

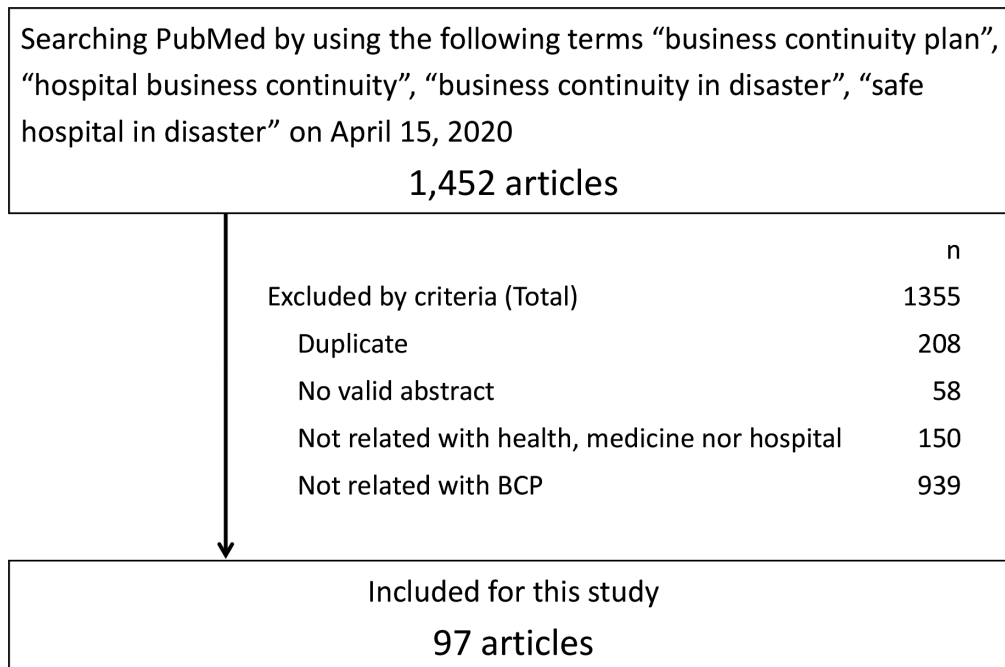


Fig. 1. Related articles identifying the process followed in this study.

We searched PubMed using BCP-related terms and identified 1452 articles, including overlapping ones. We excluded duplicates, articles with no valid abstract, articles that were not related to health, medical, or BCP fields. We included 97 articles in this study.

vant function of hospital BCPs in validating our own experience?”

Historical trend

There are a few articles on hospital BCPs in disaster management that were written before 1990. Some articles definitively titled “business continuity plans” in the public health and medical fields have been published since 1993 (Luecke and Hoopingarner 1993; Norcross et al. 1993). Since the late 2000s, BCP articles in the public health and medical fields have been published constantly, and the number increased in the 2010s (Fig. 2). As mentioned above, in Japan, the concept of BCP in other businesses began in the middle of the 2000s, but BCPs in the public health and medical fields were delayed. The articles on hospital BCP from Japan were published only after the 2011 GEJET caused significant damages to hospitals (Kudo et al. 2013; Kuroda et al. 2013a, b; Tomizuka et al. 2013; Suginaka et al. 2014; Matsumura et al. 2015; Yamanouchi et al. 2015, 2017; Sugishita et al. 2019; Takeuchi et al. 2019). Some authors have suggested that organizations that have experienced major disasters in the past can take stronger countermeasures in comparison with other organizations that did not experience such disasters (Seyedin et al. 2011). Fig. 2 shows that BCP articles were published constantly after Hurricane Katrina in 2005 (Perce 2007; Lowe 2009), and their number increased after the 2011 GEJET. Taking the increase in the number of instances of large-scale natural disaster in recent years and the establishment of the Sendai Framework for Disaster Risk Reduction 2015-2030

(UN-DRR 2015) into account, it is understandable that the number of hospital BCP articles doubled in the 2010s when compared with the 2000s. The Sendai Framework has framed one of its seven global targets to “substantially reduce disaster damage to critical infrastructure and disruption of basic services, among them health and educational facilities, including through developing their resilience by 2030.” This, it intends to strengthen hospitals during disaster.

There is continuous research output on BCPs against infectious diseases (Tomizuka et al. 2013; Kandel 2015; Sugishita et al. 2019). The end of 2019 marked the spread of Coronavirus disease 19 (COVID-19). Though COVID-19 has spread to all parts of the world, few papers have specifically addressed the relationship between COVID-19 response and hospital BCPs. On April 7, 2020, only one paper mentioned that the COVID-19 outbreak is an opportunity to review existing BCPs in order to address the pandemic (Koonin 2020). Many previous papers referring to infectious outbreaks (i.e., pandemic influenza) and hospital BCPs dealt with the stockpile of personal protective equipment and relocation plans for hospital staff when there were fewer staff members (Tomizuka et al. 2013; Abramovich et al. 2017).

Main topics of BCP

Focusing on the main topics of medical BCP articles after 2006, articles were consistently published on pandemics/infectious diseases (Horvath et al. 2006; Itzwerth et al. 2006) (Table 1). After the pandemic influenza outbreak in

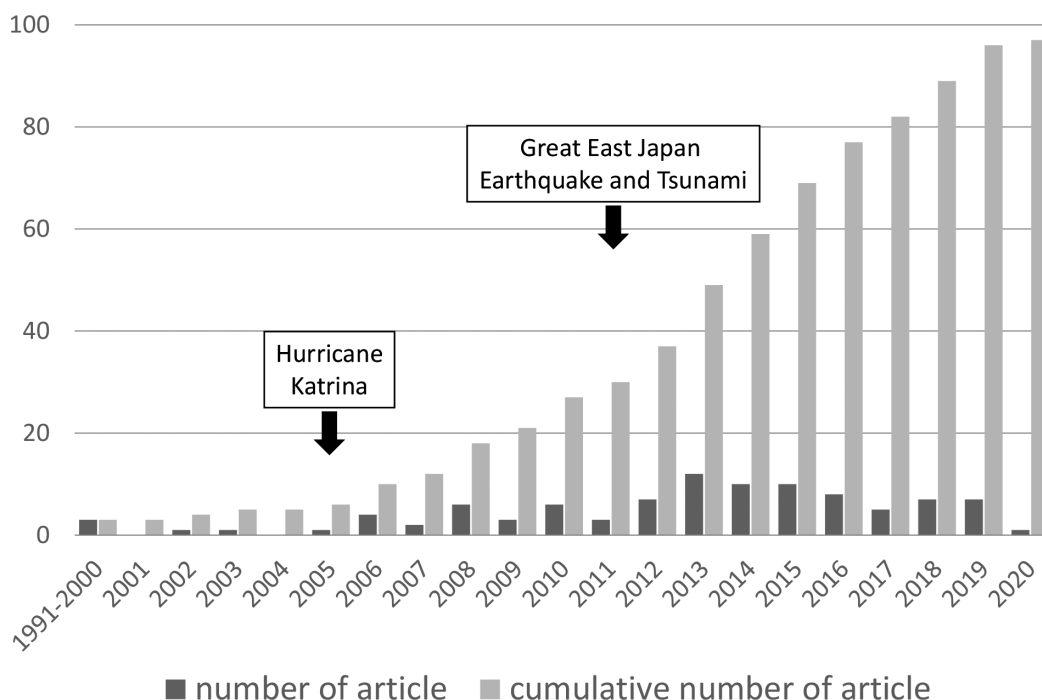


Fig. 2. Trends in the number of BCP articles in public health and medical fields. The dark bar indicates the annual number of BCP articles in the public health and medical fields, and the light bar indicates the cumulative number of BCP articles in public health and medical fields.

2009, 4 articles were published in 2010 (Roberts and Molyneux 2010; Sprung et al. 2010a, b; Zimmerman et al. 2010). In line with the development of electronic health and medical records, articles on cyber security and information technology (IT) have been on the rise (Gamble 2008; Gomes and Lapao 2008; Khorasani 2008) (Tables 1, 2). Some articles focused on the functional aspect, such as electronic records, picture archiving and communication systems (PACS), or other technical equipment that depend heavily on lifelines according to the development of the hospital information system (Langer et al. 2012; Hoffman et al. 2018; Takeuchi et al. 2019).

In 2015, the World Health Organization (WHO) revised the Hospital Safety Index Guide for evaluators (WHO 2015). Before and after this revision, the articles on hospital safety applying the WHO hospital safety index increased (Djalali et al. 2014; Heidaranlu et al. 2015; Asefzadeh et al. 2016) (Table 2).

The enhancement of recoverability measures and collaboration with the community and other businesses are also suggested. Several authors have advocated the establishment of community-wide planning that utilizes robust hospital buildings as the core of local disaster measures, with the aim of strengthening the connection with affiliated businesses and supply chains (Buehler et al. 2006; Graham and Connolly 2007; Paturas et al. 2010; Tosh et al. 2014; Landman et al. 2015; Morgan et al. 2015).

Regional differences

Table 3 shows the difference in the number of articles

on hospital BCPs in each region. The largest number (44) of articles comes from North America followed by Asia (16), and next by articles that have a multinational focus (11).

In North America, articles on hurricanes, tornados, and typhoons and on the establishment of BCPs were published more consistently (Norcross et al. 1993; Eastman et al. 2007; Carlton and Bringle 2012; Christian et al. 2014; Hoffman et al. 2018; Seltenrich 2018; Newman and Gallion 2019) (Tables 3, 4). In Asia, a larger number of articles focused on earthquakes (Kudo et al. 2013; Suginaka et al. 2014; Matsumura et al. 2015; Yamanouchi et al. 2015, 2017), and the establishment of BCPs. In the Middle East, articles focused on Safe Hospitals, and on the Hospital Safety Index from the WHO (Park et al. 2010; Apisarnthanarak et al. 2013; Djalali et al. 2014; Ardalan et al. 2016; Asefzadeh et al. 2016).

There were a few articles from Europe, Oceania, and Central and South America (Table 3), as the types of hazards and medical and public health systems vary in local and regional contexts, and articles tend to deal with region-specific hazards, as well as the local and regional contexts of vulnerability and coping capacity.

Commonality

Much of the literature, however, has followed an all-hazards approach or has operated without specific assumptions of hazards because it is not possible to predict the type and extent of a particular hazard beforehand, and further, the unexpected damage and cascading events can change

Table 1. Main topics of pubic health and medical BCP articles (historical - hazard).

Year	Number of articles	Hazard											
		Earthquake	Tsunami	Flood	Terror	Manmade disaster	Pandemic/ Infectious disease	Hurricane/ Tornado/ Typhoon	Cyber security	Mass casualty incident	All hazards	No hazard mentioned	Others
1991-2000	3			1				2				1	
2001													
2002	1				1	1							
2003	1											1	
2004													
2005	1							1					
2006	4						2				1	1	
2007	2						1	1					
2008	6			1		1	1		1		2	1	
2009	3										2	1	
2010	6						4		1			1	
2011	3	1						1			1		
2012	7							2			1	4	
2013	12	1	1	1			2		1			7	
2014	10	1	1				1			1	4	3	
2015	10	2	2	1	1		1				1	4	
2016	8							2			4	2	
2017	5	1	1				1	1				2	
2018	7	1					1	2		1		1	1
2019	7	1	1				1	1	1			3	
2020	1											1	
Total	97	8	6	4	2	2	15	13	4	2	16	33	1

the outcome, such as the nuclear power plant accident in the 2011 GEJET (Shibahara 2011).

Based on the change in hazards, hospital evacuation that constitutes a major burden for hospitals is a constant focus in the literature. Most articles on tropical cyclone disasters and hospital evacuations were published in North America, which focused on Hurricanes Katrina, Sandy, Harvey, and Irma, and are region-specific in relation to hospital BCPs (Icenogle et al. 2016; Hoffman et al. 2018; Seltnerich 2018; Newman and Gallion 2019). The American College of Chest Physicians (CHEST) statement focuses on the evacuation of critically ill patients in intensive care units (ICU) during a pandemic event or disaster; it also acknowledged that critical care providers receive little to no training on how to perform safe and effective ICU evacuations (King et al. 2014). King and the panel developed expert opinion-based suggestions using a modified Delphi process reaching 13 key suggestions including regional planning, evacuation drills, patient transport preparation and equipment, patient prioritization and distribution for evacuation, patient information and tracking, and federal and international evacuation assistance systems. There is a systematic literature review on the selection of hospital location (King et al. 2014; Moradian et al. 2017). Compared to the main determinants of cost and demand,

the disaster risk fell down to the fifth frequent reason to decide the hospital site suggesting the need of advocacy of disaster risk reduction to health decision-makers.

The establishment of a BCP, together with training and drills, are common topics in the literature regardless of region. To make hospital BCPs more practical in case of disasters, it is essential to educate and train the hospital staff that carry it out. Some articles have pointed out the importance of pre-disaster education and training, such as the attachment and detachment skills of personal protective equipment during the outbreak of infectious diseases, and the flow of staff while receiving many injured patients in mass casualty incidents (Daugherty 2008; Kearns et al. 2014; Newman and Gallion 2019).

From the results of the scoping review, we found that even though the topics of BCP articles in the world differ according to region, type of hazard, as well as the local and regional contexts, there may be universal and common features of hospital BCPs.

Japan is located in “the ring of fire” that is an earthquake-prone area around the Pacific Ocean. The results of this scoping review validate our own practice of implementing hospital BCPs after the 2011 GEJET for more realistic preparedness, and BCM treating earthquakes as a most possible but not the only hazards.

Table 2. Main topics of pubic health and medical BCP articles (historical - hard and softwear).

Year	Number of articles	Facility/Equipment/Hardware						Business continuity management/ Softwear									
		Radiology/ PACS	IT/ Cyber	Lifeline	Safe Hospital/ HSI	Biobank	Stockpile	Establishment of BCP	Incident command system	Surge capacity	Staff relocation	Protection/ Decontamination	Evacuation	Education/ drill	Supply chain	District Business Continuity	Others
1991-2000	3	1	1	1				1					2		1		
2001																	
2002	1											1					
2003	1		1														
2004																	
2005	1			1			1	1							1		
2006	4			1			1			1		1		2	2	1	
2007	2							1		1						1	
2008	6	1	3		1			2				1		1			
2009	3		1	1	1			2	1				2	1	1		
2010	6		1				2	4	3		2		1	1		1	
2011	3						1	1	1	1	1			1			
2012	7	2	1		1			3					1				
2013	12		4	1			4	6					1	1	1		1
2014	10	1	3	1	2		3	5	1				3	2	2		
2015	10		2	4			4	5	1	1			1	1	2	1	
2016	8				4	1		1	1				1	3			2
2017	5		1	1	1		2	1					1		1		1
2018	7	1		3	1		2	2		1	1		2	1	1		1
2019	7	1	1			1	2	5	1	1	1		2	3			
2020	1									1							
Total	97	7	19	14	11	2	22	40	9	7	5	3	17	17	12	4	5

IT, information technology; PACS, Picture Archiving and Communication Systems; HSI, Hospital Safety Index (by World Health Organization).

Table 3. Main topics of pubic health and medical BCP articles (regional- hazard).

Region	Number of articles	Hazard											
		Earthquake	Tsunami	Flood	Terror	Manmade disaster	Pandemic/ Infectious disease	Hurricane/ Tornado/ Typhoon	Cyber security	Mass casualty incident	All hazards	No hazard mentioned	Others
Asia	16	5	5	1	1	1	3		1			5	
Oceania	4						3				1		
North America	44			2	1	1	5	13	1	2	4	16	1
Central and South America	2	1									1		
Europe	5			1			1		1			2	
Middle East	10	1							1		4	4	
Multinational	11						3				5	3	
Unknown	5	1	1								1	3	
Total	97	8	6	4	2	2	15	13	4	2	16	33	1

Implementation of Hospital BCPs at the Tohoku University Hospital after the 2011 GEJET

A Process to develop Tohoku University Hospital BCP

Tohoku University Hospital (TUH) located in Sendai

City, Miyagi Prefecture, has 1,225 beds and approximately 3,000 staff and 120 departments. It is one of the largest hospitals in Japan. TUH was not inundated by the tsunami, but encountered the quake during the 2011 GEJET and lost gas and power supply. The emergency power generator

Table 4. Main topics of public health and medical BCP articles (regional - hard and software).

Region	Number of articles	Facility/Equipment/Hardware						Business continuity management/ Software									
		Radiology/ PACS	IT/ Cyber	Lifeline	Safe Hospital/ HSI	Biobank	Stockpile	Establishment of BCP	Incidnet command system	Surge capacity	Staff relocation	Protection/ Decontami- nation	Evacuation	Education/ drill	Supply chain	District Business Continuity	Others
Asia	16	1	3	5		1	6	9				1	2	2	3		1
Oceania	4			2				2				1		1	1		1
North America	44	6	12	6		1	10	18	3	6	4	1	9	9	6	4	2
Central and South America	2				2												
Europe	5		1					3	1						1		
Middle East	10		1	1	4		2	2	1	1			1	1			
Multinational	11		1		5		3	3	3		1		4	2	1		
Unknown	5		1				1	3	1				1	2			1
Total	97	7	19	14	11	2	22	40	9	7	5	3	17	17	12	4	5

IT, information technology; PACS, Picture Archiving and Communication Systems; HSI, Hospital Safety Index (by World Health Organization).

immediately replaced the electricity for critical hospital functions. TUH had an aseismic structure and, fortunately, had no casualties among inpatients and staff. TUH dispatched medical staff to inland and coastal hospitals affected by the 2011 GEJET, and accepted a large number of patients from coastal hospitals (Satomi 2011). However, unforeseen problems arose. Long-term disruption of social utility systems and the elevator impelled us to recognize that it is critical to establish countermeasures for human and material resources to inspect, maintain, and recover hospital functions in addition to an aseismic structure (Kudo et al. 2013, 2014; Nakagawa et al. 2013; Furukawa et al. 2014; Matsumura et al. 2015).

Based on this experience of the 2011 GEJET, TUH decided to establish an original hospital BCP in 2014. After carrying out investigations and other procedures, we established the first TUH BCP in 2017 (Tohoku University Hospital 2019). Herein, we describe the key steps in the development and improvement of our hospital BCP after the 2011 GEJET (Fig. 3).

1) Establishment of the BCP Committee

First, addressing BCP development and business continuity management (BCM) is an indispensable official task of the hospital. We established the permanent BCP Committee (Fig. 3, Step 1). It is critical to obtain a consensus and a budget from the hospital executives for the activities of the committee. Thus, the vice hospital director in charge of disaster countermeasures took on the task of committee chairperson. Over 20 representatives from the departments that play key roles in disaster response (i.e., emergency room, operation room, ICU, laboratories, radiology department, medical engineers, nurse directors, dieticians, administrators, and so on) were chosen as committee members. Several members including administrative officers also served as secretaries to assist in the execution of

the tasks of each department.

A brief committee meeting for confirmation and approval of the activity was held once a month. In this monthly meeting, task provision and summary of investigations were discussed (detailed later). Each committee member provided the aggregated results of the investigations conducted by each department based on their real experiences and official records of the 2011 GEJET.

2) Review of critical operations/estimated recovery time objective (RTO)

We first defined the fundamental role of TUH in disaster as a DBH and the largest academic and educational tertiary hospital in the area to: (1) protect the security and life of patients, family members, students, and staffs in disaster, (2) contribute to the community by medical and public health response, (3) cooperate with and support the community, (4) protect the community by preventing the contamination of hazardous materials from the hospital, and (5) quickly recover clinical, research, and educational activities.

Each committee member concretely listed department-specific critical operations by classifying their operations into “Routine operations that cannot be halted even during a disaster” and “New operations that are necessary after the onset of a disaster.” Each committee member was asked to estimate the type of influence on the patient’s health and life according to the downtime of critical operations. It was acceptable if the restoration or continuity of critical operations, to some extent using alternative ways or things, before the patient’s situation became irreversible (Fig. 3, Step 2). We decided RTO based on these estimations and finalized the “list of total reviews of critical operations.” Thereby, in-hospital department-specific critical operations that have to be performed preferentially were visualized (Fig. 4).

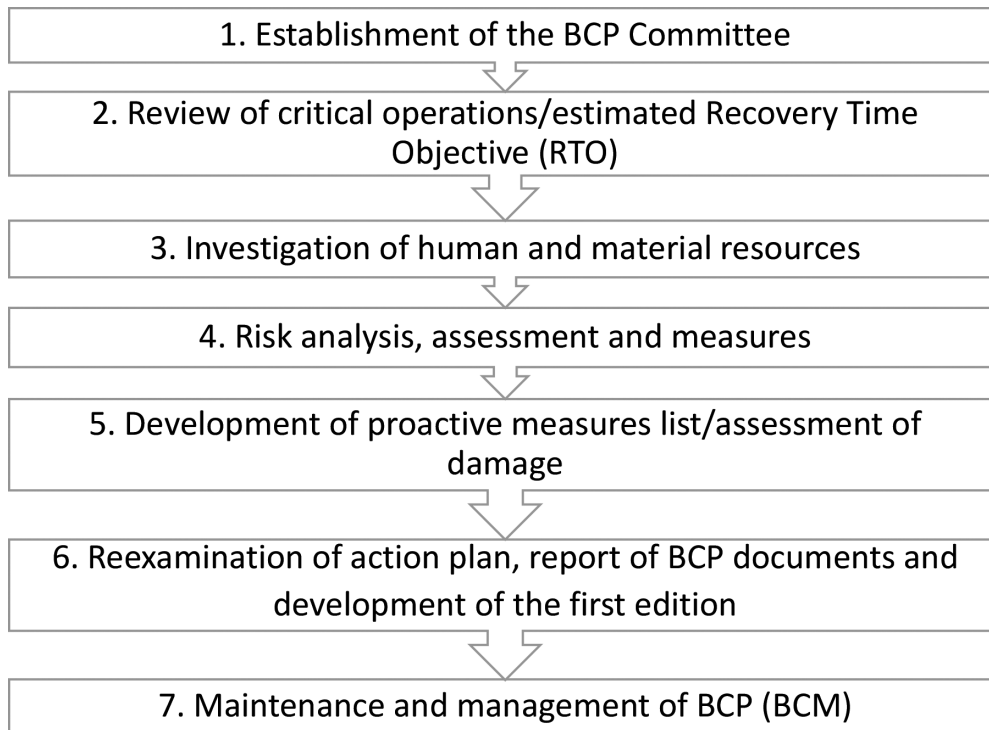


Fig. 3. Process to develop the TUH BCP.

A brief committee meeting was held once a month, where various kinds of investigations were carried out. Each investigation (each step) took one month except for Steps 3 and 4, which took two months each. The results of this investigation were reported at the next committee meeting to arrive at a consensus.

3) Investigation of human and material resources

Next, we investigated the kinds and quantity of human and material resources needed to implement critical operations in each department (Fig. 3, Step 3). We took a longer time (two months) for this step, in order to ensure a careful investigation. Considering the availability of current and new resources in view of the BCM, we arrived at the “essential minimum” of human and material resources that are indispensable for the implementation of critical operations.

4) Risk analysis, assessment, and measures

For risk analysis, assessment, and measures, each department was asked to conduct self-assessment on the achievement of human and material resources (i.e., staff, electricity, water, gas, medical gas, and so on) that was listed in the previous step (Fig. 3, Step 4). If any resource was found insufficient, we asked each department to give concrete solutions. As a result, the integrated list of risk assessment and measures in each department was prepared. It revealed the actual situation of preparedness for each resource. We took two months for this step because business continuity is no less than resource management and proactive measures to implement critical operations.

5) Development of proactive measures list/assessment of damage

On the basis of the above process, we developed a list

of proactive measures that we could work on preferentially (Fig. 3, Step 5). These proactive measures were classified into (1) those involving several departments and (2) those that can be solved in each department. The crisis event we assumed in this BCP was defined as an inland earthquake (of seismic intensity 6+ on the Japan Meteorological Agency scale, the highest being 7) in Sendai where the TUH is located. To assume damage by the earthquake, we referred to the “Sendai City earthquake hazard map” published by the Sendai City Office (Sendai City Office 2002, 2007).

6) Re-examination of the Action Plan, report of BCP documents and development of the first edition

Each department polished their action plan originally generated in 2014 as the specific action protocol (SAP) in this BCP reflecting the experiences during the 2011 GEJET (Fig. 3, Step 6). SAP defines the concrete actions to be taken for the restoration or implementation of critical operations before the RTO at levels from immediately after the onset, up to 3 m (Fig. 4). We added the concept and general rules for the BCP, resource information of institutional life-lines, and arranged the document architecture. Final approval was obtained from the administration council comprising hospital executives and representatives of all departments. The first edition of TUH BCP was established on November 1, 2017 (Tohoku University Hospital 2019).

Critical operation time table matrix of Tohoku University Hospital.												
		Level		Patient safety				Social value				
		C		Critical or death				No contribution / Loss of social trust				
		B		Worsening				Less contribution / Partial trust				
		A		Stable				Full contribution / Full trust				

Critical operation	Sub-operation	Routine or new	Recovery time objective (RTO)										Reason for criticality
			<1 h	3 h	6 h	12 h	24 h	3 d	1 w	2 w	1 m	3 m	
Security assurance	Staff	Routine											Unstoppable
	Infrastructure												
	Patients and visitors												
Life support	Staff	New											Including support for families
	Patient												
Mass casualty treatment	Triage area	New											Social need as the disaster base hospital (DBH) to start acceptance within an hour
	Outpatient												
	In-patient												
	Central facilities												
Normal operation	Outpatient	Routine											Return to the normal operation within 2 weeks.
	In-patient												
	Central facilities												
Support for regional health facilities		New											Role as DBH

Fig. 4. The list of critical operations and estimated RTO.

This is a representative table of entire hospital. Every department should have a similar individual table.

Each row contains department name, critical operations and estimated RTO (colored column). Green means there is no impact on patient's health condition or social trust to the hospital; yellow means there is a possibility that some patient's condition may be aggravated or partial loss of social trust; Red means there is a possibility that some patient may die, and the loss of social trust.

Before the column turns into red from yellow, each department must resume the critical operations by alternative methods or resources. By introducing the idea of RTO, we can prioritize the critical operations.

7) Maintenance and management of BCP (BCM)

BCP should be periodically updated as untreated BCPs become worthless. It is necessary to enhance the effectiveness of BCPs through training. TUH has addressed the following items for BCM: (1) the solution of problems using the list of proactive measures through small group meetings involving relevant departments, (2) BCP development for new departments including the obstetrics and perinatal care units, psychiatry wards, infectious disease wards, and some specific inpatient wards as a step toward implementing department-specific BCPs throughout the hospital, (3) BCP exercise and training including tabletop exercises, emergency facility inspection training with external maintenance suppliers, and educational lectures. We will renew our TUH BCP annually. The third edition is under development (Fig. 3, Step 7).

Discussion

If a disaster occurs, the public health and medical needs increase rapidly. The surge in needs usually far exceeds the daily level of the capacity to respond to it. The provision and capacity of public health and medical service will decrease for a while because of the impact of the disaster. This is a specific feature of social safety organizations

such as public health, medical, police, fire department, and governmental administrations while framing a BCP. The reasons for such business level fluctuations are: (1) there are special operations that should not have any downtime even during routine operations (i.e., patient care with mechanical ventilators), (2) new operations should be able to cope with surge needs after a disaster (i.e., accepting mass casualty, dispatching staff), and (3) additional operations for restoration of damaged facilities and systems. Every public health and medical organization should recover as quickly as possible after a catastrophe by relying on its BCP and BCM to reduce the operational burden at the peak and to shorten the restoration time. Quick recovery of the health sector leads to quick recovery of the affected community, and trust is gained from society. Every public health and medical organization has to establish a BCP and BCM.

During the 2011 GEJET, we felt a terrible shake that we had never experienced before, but hospital buildings did not collapse and neither the staff nor patients were injured. Nevertheless, we suffered from the long-term stoppage of lifelines and lack of human and material resources. We realized that countermeasures against an earthquake needs not only to build an aseismic building structurally but also

to take functional measures for the long-term stoppage of lifelines and human and material resource that occur as a result of any kind of disaster. There should be a common concept in place as hospital and health care staff prepare to respond to health crises regardless of the type of hazards they face. Hospital BCPs and BCM form the structured tool that can strengthen hospital resilience during a disaster.

The component that should be included in a hospital BCP may change according to the frequency and type of hazard, geographical and historical background, and hospital systems. Combining the results of the scoping review and our own experience of the 2011 GEJET, we suggest that the following points are integral, universal components that must be considered for inclusion in hospital BCPs:

- i. Alternative methods and resources
- ii. Priority of operations
- iii. Resource management.

While considering alternatives, hospital evacuation is among the most difficult choices to make, as many authors have mentioned (Bagaria et al. 2009; Adini et al. 2012; Petinaux and Yadav 2013). Many problems pertaining to hospital evacuation, such as evacuation criteria, how to transport a patient on life support, and where to transport inpatients, remain unsolved and are repeatedly faced during disasters. Even though hospital evacuation can be planned before a disaster, it is very difficult if it is necessary suddenly. Hospital BCPs should contain the assumption of hospital evacuation needs.

It is impossible to carry out quick and effective disaster response and restoration processes without a process of “selection and concentration” in handling limited human and material resources. During the 2018 torrential rains in west Japan, a hospital in which the director had decided on the priority of business restorations and RTO got an earlier restart when compared to a hospital that had a plan without an RTO (Yuasa et al. 2019). It is important to decide on critical operations and hospital policies based on how the hospital should contribute to the community during a disaster. This decision needs the understanding and determination of hospital executives.

The capacity and planning necessary to receive support should be considered an important component of resource management. A hospital can survive and restore the affected area by receiving and utilizing support from outside efficiently. At the time of the 2011 GEJET, we found that the support received largely depended on how or to whom the support would be ordered, and who would manage it (Sasaki et al. 2015). As the opportunity to receive support (becoming a hospital affected by disaster) is very rare, capacity building through education is critical. Potential recipients cannot build up their capability of receiving support without appropriate imagination, education, and training.

In Japan, the Ministry of Health, Labour and Welfare (MHLW) made it mandatory for every DBH to establish its own BCP and to conduct training (Ministry of Health

2018). However, non-DBH, which accounts for 90% of Japanese hospitals, are not mandated to do so. A hospital must play the role of a community safety structure in collaboration with other medical and relevant organizations during a disaster. As a disaster can strike anywhere, at any time, and target anybody, DBH, non-DBH, and other relevant organizations should develop their own BCPs and BCM. Developing a BCP and BCM itself takes a lot of time and effort but leads to fruitful results and future.

Limitations

In this scoping review, we searched the literature listed on PubMed extensively. However, we did not look up other databases. Thus, there may be some relevant articles that were not selected. It was not possible to directly compare the diversity in the type of hazards, loco-regional contexts of vulnerability, and coping capacity including the difference in health insurance, public health and medical systems, and cultural differences, in the literature. However, the existence of such a difference itself suggests the necessity for BCPs and BCM in each context in any hospital in the world.

Conclusion

Through this scoping review, we found a universal and common feature of hospital BCPs with a diverse range of variabilities based on the era, region, types of hazards, regional and local contexts of vulnerability, and coping capacities. Considering such characteristics and our unforeseen experiences of the 2011 GEJET, we suggest the following point as universal components for hospital BCPs with fewer assumptions of specific hazards so that our hospital can be resilient in dealing with various situations during a disaster: alternative methods and resources, priority of operations, and resource management. Regardless of the type of hazard and loco-regional context, the development of BCPs and BCM adopting integral components while considering various types of disaster damage can help build hospital resilience to address disasters in the future.

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Conflict of Interest

The authors declare no conflict of interest.

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